

CalPERS Ethics Helpline

REPORT STATUS SUMMARY 9/13/2010 – 11/15/11

#	Case ID Number	Issue Reported	Anonymous Report Submitted	Days Open as of 11/15/11	Pending	Closed	Intake Date	Closed Date
1.	2011-161	Improper Supplier or Contractor Activity	YES	7		•	11/9/11	11/15/11
2.	2011-160	Other-Member Inquiry	NO	10		•	10/26/11	11/4/11
3.	2011-159	Retirement Benefits Fraud and Abuse-Pension Spiking	YES	26	•		10/21/11	
4.	2011-158	Retirement Benefits Fraud and Abuse-Unreported Death	YES	24		•	10/12/11	11/4/11
5.	2011-157	Other-Member Inquiry	NO	28		•	10/11/11	11/7/11
6.	2011-156	Retirement Benefits Fraud or Abuse	YES	37	•		10/10/11	
7.	2011-155	Retirement Benefits Fraud or Abuse	YES	43	•		10/4/11	
8.	2011-154	Legal Issues	YES	3		•	9/28/11	9/30/11
9.	2011-153	Discrimination or Harassment	YES	53	•		9/24/11	
10.	2011-152	Retirement Benefits Fraud and Abuse-Pension Spiking	YES	37		•	9/9/11	10/05/11
11.	2011-151	Fraud	NO	69	•		9/8/11	
12.	2011-150	Fraud	YES	57		•	8/31/11	10/26/11
13.	2011-149	Retirement Benefits Fraud and Abuse	YES	48		•	8/27/11	10/13/11
14.	2011-148	Retirement Benefits Fraud and Abuse	YES	46		•	8/29/11	10/13/11
15.	2011-147	Retirement Benefits Fraud and Abuse-Pension Spiking	YES	22		•	8/22/11	9/12/11

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16.	2011-146	Retirement Benefits Fraud and Abuse-Pension Spiking	YES	58		•	8/17/11	10/13/11
17.	2011-145	Retirement Benefit Fraud and Abuse	NO	59		•	8/16/11	10/13/11
18.	2011-144	Fraud	NO	96	•		8/12/11	
19.	2011-143	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	14		•	8/11/11	8/24/11
20.	2011-142	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	8		•	8/11/11	8/18/11
21.	2011-141	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	99		•	8/9/11	11/2/11
22.	2011-140	Fraud	YES	94		•	8/8/11	11/9/11
23.	2011-139	Other-Member Inquiry	YES	4		•	8/5/11	8/8/11
24.	2011-138	Other-Member Inquiry	NO	14		•	8/5/11	8/18/11
25.	2011-137	Fraud	YES	10		•	8/1/11	8/10/11
26.	2011-136	Other-Member Inquiry	NO	21		•	7/29/11	8/18/11
27.	2011-135	Other-Member Inquiry	NO	17		•	7/26/11	8/14/11
28.	2011-134	Retirement Fraud or Abuse-Pension Spiking	NO	14		•	7/21/11	8/3/11
29.	2011-133	Other-Member Inquiry	NO	6		•	7/20/11	7/25/11
30.	2011-132	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	110		•	7/14/11	10/31/11
31.	2011-131	Other-Member Inquiry	YES	7		•	7/19/11	7/25/11
32.	2011-130	Other-Member Inquiry	YES	8		•	7/18/11	7/25/11

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33.	2011-129	Retirement Benefits Fraud or Abuse	YES	50		•	6/23/11	8/11/11
34.	2011-128	Conflict of Interest	YES	44		•	6/22/11	8/4/11
35.	2011-127	Other-Member Inquiry-Retiree Benefits	YES	8		•	6/22/11	6/29/11
36.	2011-126	Other-Member Inquiry-Retiree Benefits	NO	14		•	6/16/11	6/29/11
37.	2011-125	Human Resources	YES	23		•	6/15/11	7/7/11
38.	2011-124	Human Resources	YES	17		•	6/9/11	6/25/11
39.	2011-123	Human Resources	YES	61		•	6/9/11	8/8/11
40.	2011-122	Discrimination-Harassment	YES	33		•	6/9/11	7/11/11
41.	2011-121	Discrimination-Harassment	YES	36		•	6/6/11	7/11/11
42.	2011-120	Other-Member Inquiry-CalPERS Membership	NO	72		•	6/2/11	8/12/11
43.	2011-119	Regulatory Compliance	YES	63		•	6/2/11	8/3/11
44.	2011-118	Other-Member Inquiry-Retiree Benefits	NO	17		•	6/1/11	6/17/11
45.	2011-117	Retaliation	NO	8		•	6/1/11	6/8/11
46.	2011-116	Other-Member Inquiry	YES	63		•	5/31/11	8/1/11
47.	2011-115	Other-Member Inquiry-Retiree Benefits	NO	175	•		5/25/11	
48.	2011-114	Human Resources	YES	8		•	5/25/11	6/1/11

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49.	2011-113	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	175	•		5/25/11	
50.	2011-112	Human Resources	YES	79		•	5/24/11	8/10/11
51.	2011-111	Human Resources	NO	27		•	5/13/11	6/8/11
52.	2011-110	Human Resources	YES	14		•	5/12/11	5/25/11
53.	2011-109	Other-Member Inquiry-Retiree Benefits	NO	31		•	5/10/11	6/9/11
54.	2011-108	Fraud	NO	31		•	5/9/11	6/8/11
55.	2011-107	Other-Member Inquiry-Retiree Benefits	NO	21		•	5/6/11	5/26/11
56.	2011-106	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	186		•	4/29/11	10/31/11
57.	2011-105	Conflict of Interest	YES	45		•	4/4/11	5/18/11
58.	2011-104	Human Resources	YES	30		•	5/2/11	6/1/11
59.	2011-103	Improper Supplier or Contractor Activity	NO	14		•	5/2/11	5/12/11
60.	2011-102	Retirement Benefits Fraud or Abuse	YES	21		•	4/30/11	5/21/11
61.	2011-101	Accounting & Auditing Matters	NO	6		•	4/30/11	5/5/11
62.	2011-100	Human Resources	NO	39		•	4/29/11	6/7/11
63.	2011-099	Fraud	YES	17		•	4/26/11	5/12/11
64.	2011-098	Badging or Access Control	YES	81		•	4/22/11	7/11/11
65.	2011-097	Badging or Access Control	YES	81		•	4/22/11	7/11/11
66.	2011-096	Human Resources	NO	25		•	4/21/11	5/13/11

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67.	2011-095	Human Resources	YES	28		●	4/20/11	5/17/11
68.	2011-094	Retaliation	YES	32		●	4/14/11	5/11/11
69.	2011-093	Human Resources	YES	16		●	4/6/11	4/21/11
70.	2011-092	Human Resources	NO	4		●	4/4/11	4/7/11
71.	2011-091	Human Resources	YES	67		●	4/1/11	6/10/11
72.	2011-090	Human Resources	YES	20		●	4/1/11	4/20/11
73.	2011-089	Human Resources	YES	21		●	4/1/11	4/21/11
74.	2011-088	Human Resources	NO	21		●	3/30/11	4/19/11
75.	2011-087	Human Resources	NO	15		●	3/25/11	4/8/11
76.	2011-086	Fraud	NO	27		●	3/11/11	4/6/11
77.	2011-085	Retirement Benefits Fraud or Abuse	YES	8		●	3/18/11	3/25/11
78.	2011-084	Human Resources	NO	4		●	3/14/11	3/17/11
79.	2011-083	Fraud	NO	124		●	3/11/11	7/12/11
80.	2011-082	Safety, Health and the Environment	YES	94		●	3/8/11	6/10/11
81.	2011-081	Human Resources	YES	3		●	3/7/11	3/9/11
82.	2011-080	Human Resources	NO	11		●	3/7/11	3/17/11
83.	2011-079	Data Privacy	NO	33		●	3/5/11	4/6/11
84.	2011-078	Fraud	NO	7		●	3/4/11	3/10/11
85.	2011-077	Data Privacy	NO	8		●	3/4/11	3/11/11
86.	2011-076	Compliance with Laws and Regulations	NO	37		●	2/28/11	4/5/11

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87.	2011-075	Human Resources	NO	22		•	2/23/11	3/16/11
88.	2011-074	Human Resources	YES	15		•	2/23/11	3/9/11
89.	2011-073	Accounting and Auditing Matters	NO	127		•	2/23/11	6/29/11
90.	2011-072	Retirement Benefits Fraud or Abuse	YES	141		•	2/22/11	7/12/11
91.	2011-071	Human Resources	NO	8		•	2/16/11	2/23/11
92.	2011-070	Compliance with Laws and Regulations	NO	20		•	2/16/11	3/7/11
93.	2011-069	Human Resources	YES	17		•	2/16/11	3/4/11
94.	2011-068	Human Resources	YES	11		•	2/15/11	2/25/11
95.	2011-067	Other-Solicitation-Request for Compliance Training Materials	NO	15		•	2/15/11	3/1/11
96.	2011-066	Fraud	NO	31		•	2/15/11	3/17/11
97.	2011-065	EEOC or ADA Matters	NO	24		•	2/14/11	3/9/11
98.	2011-064	Other-Member Inquiry-Ethics Helpline Purpose & Cost	NO	43		•	2/8/11	3/22/11
99.	2011-063	Human Resources	YES	18		•	2/8/11	2/25/11
100.	2011-062	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
101.	2011-061	Retirement Benefits Fraud or Abuse	NO	33		•	2/5/11	3/9/11
102.	2011-060	Computer, E-mail, and Internet Use	YES	64		•	2/3/11	4/7/11

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103.	2011-059	Compliance with Laws and Regulations	YES	23		•	2/3/11	2/25/11
104.	2011-058	Human Resources	NO	34		•	2/2/11	3/7/11
105.	2011-057	Fraud	YES	7		•	2/2/11	2/8/11
106.	2011-056	Outside Employment and Compensation	NO	15		•	2/1/11	2/15/11
107.	2011-055	Compliance with Laws and Regulations	NO	15		•	1/31/11	2/14/11
108.	2011-054	Other-Member Inquiry-Tax Adjustment	NO	9		•	1/31/11	2/8/11
109.	2011-053	Other-Solicitation-Outside Vendor Services	YES	9		•	1/30/11	2/7/11
110.	2011-052	Other-Member Inquiry-Address Change	NO	11		•	1/28/11	2/7/11
111.	2011-051	Human Resources	YES	11		•	1/28/11	2/7/11
112.	2011-050	Other-Workers' Compensation Claim Inquiry	NO	5		•	1/24/11	1/28/11
113.	2011-049	Override of Internal Controls	YES	5		•	1/6/11	1/10/11
114.	2011-048	Compliance with Laws and Regulations	YES	24		•	1/5/11	1/28/11
115.	2010-047	Conflict of Interest	YES	21		•	12/24/10	1/13/11
116.	2010-046	Fraud	NO	28		•	12/24/10	1/20/11
117.	2010-045	Human Resources	YES	16		•	12/22/10	1/6/11
118.	2010-044	Discrimination or Harassment	NO	14		•	12/21/10	1/3/11

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119.	2010-043	Disability Fraud	YES	4		•	12/20/10	12/23/10
120.	2010-042	Safety, Health, and the Environment	YES	18		•	12/20/10	1/6/11
121.	2010-041	Compliance with Laws and Regulations	NO	6		•	12/15/10	12/20/10
122.	2010-040	Retirement Benefits Fraud or Abuse	YES	61		•	12/12/10	2/10/11
123.	2010-039	Compliance with Laws and Regulations	NO	44		•	12/8/10	1/20/11
124.	2010-038	Discrimination or Harassment	YES	3		•	12/7/10	12/9/10
125.	2010-037	Human Resources	YES	36		•	12/2/10	1/6/11
126.	2010-036	Sexual Harassment	YES	9		•	12/1/10	12/9/10
127.	2010-035	Fraud	YES	9		•	11/30/10	12/8/10
128.	2010-034	Conflict of Interest	YES	15		•	11/29/10	12/13/10
129.	2010-033	Sexual Harassment	YES	33		•	11/29/10	12/31/10
130.	2010-032	Disability Fraud	NO	15		•	11/24/10	12/8/10
131.	2010-031	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	47		•	11/22/10	1/7/11
132.	2010-030	Conflict of Interest	YES	11		•	11/19/10	11/29/10
133.	2010-029	Information Security and Records	NO	30		•	11/16/10	12/15/10
134.	2010-028	Retirement Benefits Fraud or Abuse	YES	12		•	11/8/10	11/19/10
135.	2010-027	Legal Issues	YES	55		•	11/4/10	12/28/11

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136.	2010-026	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	158		•	11/2/10	4/8/11
137.	2010-025	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	40		•	10/30/10	12/8/10
138.	2010-024	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	43		•	10/27/10	12/8/10
139.	2010-022	Accounting and Auditing Matters	YES	74		•	10/26/10	1/7/11
140.	2010-021	Human Resources	NO	9		•	10/20/10	10/28/10
141.	2010-020	Legal Issues	NO	4		•	10/18/10	10/21/10
142.	2010-019	Retirement Benefits Fraud or Abuse-Pension Spiking	NO	13		•	10/17/10	10/29/10
143.	2010-018	Abuse of Authority	YES	62		•	10/13/10	12/13/10
144.	2010-017	Fraud-theft of Property Public Agency	NO	7		•	10/8/10	10/14/10
145.	2010-016	Retirement Benefits Fraud or Abuse-Unreported Death	NO	9		•	10/7/10	10/15/10
146.	2010-015	Retirement Benefits Fraud or Abuse-Pension Spiking	YES	107		•	10/5/10	1/19/11
147.	2010-014	Business Relationships with Clients/Vendors	YES	49		•	10/5/10	11/22/10
148.	2010-013	Data Privacy	NO	19		•	9/27/10	10/15/10

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149.	2010-012	Improper Supplier or Contractor Activity	YES	110		•	9/27/10	1/14/11
150.	2010-011	Retirement Benefits Fraud or Abuse- Pension Spiking	YES	118		•	9/24/10	1/19/11
151.	2010-010	Retirement Benefits Fraud or Abuse-Members Fire Department	YES	98		•	9/23/10	12/29/10
152.	2010-009	Legal Issues - Misuse of State Property	YES	19		•	9/17/10	10/5/10
153.	2010-008	Retirement Benefits Fraud or Abuse - Legal Public Agency	NO	29		•	9/15/10	10/13/10
154.	2010-007	Abuse of Authority	YES	92		•	9/13/10	12/13/10

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